# **Section 301**

**Background:** 

On March 22, 2018, the Office of the U.S. Trade Representative (USTR) conducted a Section 301 investigation and determined that China's acts, policies and practicies with respect to technology transfer, intellectual property and innovation were unreasonable or discriminatory.

In accordance with the president's direction, USTR has released lists of products imported from China, identified by an 8-digit or 10-digit HTS subheading that would be subject to an additional tariff.

## **Exclusion Process:**

An exclusion process has been established for U.S. stakeholders to request exclusion of particular products covered under the Section 301 tariff action.

All exclusions that have been granted are retroactive to the original effective date of the tariff action and extend for one year after the publication of the exclusion notice.

Exclusions that are expiring have an opportunity to submit an extension request before the expiration. Details can be found in the Federal Register notice released approximately two months before the expiration.

## LIST 1 - \$34 BILLION 25% Tariff

## 4/6/2018

Proposed List 1 of products subject to an additional tariff (83 Fed. Reg. 14906)

### 6/20/2018

Final List 1 of \$34 billion products subject to an additional 25% tariff (Annex A and B) (83 Fed. Reg. 28710)

## 7/6/2018

Effective date: 25% tariff on List 1 products; U.S. Customs and Border Protection (CBP) starts collecting duties

## 7/11/2018

USTR issues List 1 exclusion request process (83 Fed. Reg. 32181)

## 10/9/2018

Exclusion requests due

## Exclusions Granted, Retroactive to 7/6/2018

12/28/2018/ Extensions Granted

3/25/2019/

**Extensions Granted** 

4/18/2019/

Extensions Granted

5/14/2019/

Extensions Due 4/12/2020

6/4/2019/

Extensions Due 4/30/2020

7/9/2019/

Extensions Due 6/1/2020

9/20/2019

<u>10/2/2019</u>

12/17/2019

2/11/2020

## LIST 2 - \$16 BILLION 25% Tariff

Proposed List 2 of products subject to an additional tariff (Annex C) (83 Fed. Reg. 28710)

## 8/16/2018

6/20/2018

Final List 2 of \$16 billion products subject to 25% tariff (83 Fed. Reg. 40823)

#### 8/23/2018

Effective date: 25% tariff on List 2 products; U.S. Customs and Border Protection (CBP) starts collecting duties

## 9/18/2018

USTR issues List 2 exclusion request process (83 Fed. Reg. 47236)

## 12/18/2018

Exclusion requests due

## Exclusions Granted, Retroactive to 8/23/2018

7/31/2019/ Extensions Due 6/1/2020 9/20/2019 10/2/2019

12/17/2019 2/25/2020

## LIST 3 - \$200 BILLION 25% Tariff

## 7/17/2018

Proposed List 3 of products subject to an additional tariff (83 Fed. Reg. 33608)

## 9/21/2018

Final List 3 of \$200 billion products subject to 10% tariff (83 Fed. Reg. 47974)

## 9/24/2018

Effective Date: 10% tariff on List 3 products; U.S. Customs and Border Protection (CBP) starts collecting duties

### 5/10/2019

Effective Date: Tariff increases to 25%

## 6/24/2019

USTR issues List 3 exclusion request process (84 Fed. Reg. 29576)

## 9/30/2019

Exclusion requests due

## Exclusions Granted, Retroactive to 9/24/2018

8/7/2019 9/20/2019 10/28/2019 11/13/2019 11/29/2019 12/17/2019 1/6/2020 2/5/2020

2/20/2020 3/16/2020 3/26/2020

<u>4/24/2020</u> <u>5/8/2020</u>

## List 4B Suspended

UPDATED 5/8/2020

**LIST 4 - \$300 BILLION** 

**5/17/2019**Proposed List 4 of products subject up to an additional tariff (84 Fed. Reg. 22564)

### 8/20/2019

Final List 4A and 4B of \$300 billion products subject to 10% tariff (84 Fed. Reg. 43304)

## 8/30/2019

Effective Date: List 4A tariff increased to 15%; U.S.
Customs and Border Protection (CBP) starts collecting duties (84 Fed. Reg. 45821)

## 10/24/2019

USTR issues List 4A exclusion request process (84 Fed. Reg. 57144)

## 1/31/2020

Exclusion requests due for List 4A

## 2/14/2020

Effective Date: List 4A tariff reduced to 7.5% per U.S.-China Phase-One agreement (85 Fed. Reg. 3741)

## Exclusions Granted, Retroactive to 9/1/2019

3/10/2020 3/17/2020 3/31/2020

For more information or if you have any questions, please visit our <u>Section 301</u> trade resource page or contact <u>Angela Chiang</u>.

